
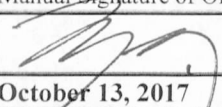


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<div style="position: absolute; top: 0; left: 0; transform: rotate(-15deg); opacity: 0.5;">SEC MAIL PROCESSING Received OCT 16 2017</div> <div style="position: absolute; top: 0; right: 0; text-align: right;">Estimated average burden hours per response: 2.00</div>				
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e)				
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934				
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM				
Part I		Initial Listing Report		
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:				
NYSE American, LLC (trading pursuant to unlisted trading privileges)				
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):				
Open-end Management Investment Company				
3. Class of New Derivative Securities Product:		 17003324		
Investment Company Units				
4. Name of Underlying Instrument:				
Schwab 1000 Index				
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:				
Broad-Based				
6. Ticker Symbol(s) of New Derivative Securities Product:				
SCHK				
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:				
NYSE, NASDAQ, NYSE American				
8. Settlement Methodology of New Derivative Securities Product:				
Regular way trades settle on T+2/Book entry only held in DTC.				
9. Position Limits of New Derivative Securities Product (if applicable):				
Not applicable.				
Part II		Execution		
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.				
Name of Official Responsible for Form:				
Martha Redding				
Title:				
Associate General Counsel and Assistant Secretary				
Telephone Number:				
(212) 656-2938				
Manual Signature of Official Responsible for Form:				
 October 13, 2017				
SEC 2449 (1/99)				
		Act Securities Exchange Act of 1934 Section 19b-4 Rule 19b-4(e) Public Availability: OCT 16 2017		